



12-28-00 04CO

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 31, 2001.

Angela M. Beddawi  
Angela M. Beddawi

Applicant : Thomas J. Quigley et al.  
Application No. : 09/710,238  
Filed : November 9, 2000  
Title : PRE-EQUALIZATION TECHNIQUE FOR UPSTREAM COMMUNICATION BETWEEN CABLE MODEM AND HEADEND  
  
Grp./Div. : Not Assigned  
Examiner : Not Assigned  
  
Docket No. : 34690/LTR/B600

INFORMATION DISCLOSURE STATEMENT  
FOR A CONTINUING NON-CPA APPLICATION

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
January 31, 2001

Commissioner:

In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, enclosed are copies of two FORM PTO-1449 forms. The references listed thereon are not enclosed because they are of record in parent Application No. 09/430,821, filed October 29, 1999. Also enclosed is a copy of the International Search Report dated October 9, 2000 and a copy of the Written Opinion dated November 15, 2000 from International Patent Application No. PCT/US99/25675.

It is respectfully requested that these references be considered in the examination of this application, and identified in the list of references cited on the patent issuing on this application.

Respectfully submitted,  
CHRISTIE, PARKER & HALE, LLP

By LeRoy T. Rahn  
LeRoy T. Rahn  
Reg. No. 20,356  
626/795-9900

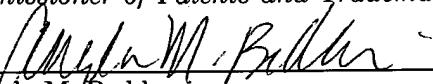
LTR/amb

4  
CPW 5/17  
PATENT



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as first class mail in an envelope addressed to Commissioner of Patents and Trademarks, Washington, D.C. 20231 on January 31, 2001.

  
Angela M. Beddawi

Applicant : Thomas J. Quigley et al.  
Application No. : 09/710,238  
Filed : November 9, 2000  
Title : PRE-EQUALIZATION TECHNIQUE FOR UPSTREAM COMMUNICATION BETWEEN CABLE MODEM AND HEADEND  
Grp./Div. : Not Assigned  
Examiner : Not Assigned  
Docket No. : 34690/LTR/B600

### INFORMATION DISCLOSURE STATEMENT 37 CFR § 1.97(b)

Assistant Commissioner for Patents  
Washington, D.C. 20231

Post Office Box 7068  
Pasadena, CA 91109-7068  
January 31, 2001

#### Commissioner:

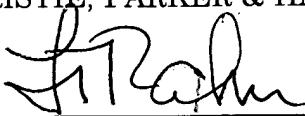
In compliance with the duty of disclosure under 37 CFR §§ 1.56, 1.97 and 1.98, and in accordance with the provisions in the Manual of Patent Examining Procedure §§ 609 and 707.05(b), enclosed is FORM PTO-1449 listing a reference that is known to applicant. A copy of the listed reference is enclosed. This filing is timely because it is made during one of the periods described in 37 CFR § 1.97(b).

It is respectfully requested that the listed reference be considered in the examination of this application and identified on the list of references cited on the patent issuing for this application. Applicant also requests that an initialed copy of FORM PTO-1449 be entered in the application file and returned to applicant with the next communication from the Office in accordance with MPEP § 609.

Respectfully submitted,

CHRISTIE, PARKER & HALE, LLP

By



LeRoy T. Rahn  
Reg. No. 20,356  
626/795-9900

LTR/amb

Enclosures: PTO-1449, w/reference